



GLOBAL FINANCIAL

Private Capital

COMPREHENSIVE WEALTH MANAGEMENT

CLIENT SUITABILITY

Financial Profile (For Joint accounts, provide combined information)

Annual Income

From all sources

- Under \$25,000
 - \$25,001 - \$50,000
 - \$50,001 - \$100,000
 - Over \$100,000
- \$ _____

Estimated Net Worth

Excluding primary residence

- Under \$50,000
 - \$50,001 - \$100,000
 - \$100,001 - \$500,000
 - Over \$500,000
- \$ _____

Investable/Liquid Assets

Including cash & securities

- Under \$50,000
 - \$50,001 - \$100,000
 - \$100,001 - \$500,000
 - Over \$500,000
- \$ _____

Federal Tax Bracket

- 15% or below
- 16% - 28%
- Over 28%

Investment Profile

Investment Objectives

Rank your investment objectives for this account in order of importance (1 being the highest):

- Capital Appreciation
- Income
- Speculation
- Trading Profits
- Other _____

Risk Tolerance

- Conservative
- Moderate
- Aggressive

Investment Time Horizon

- Short (0-5 years)
- Intermediate (6-10 years)
- Long (Over 10 years)

Investment Product Knowledge

For each investment category below, please enter the account owner's level of knowledge using one of the following four words:

NONE – LIMITED – GOOD – EXTENSIVE

Stocks _____

Bonds _____

Mutual Funds _____

Options _____

Variable Contracts _____

Limited Partnerships _____

Long Term Investment Objective(s)

General Investment Knowledge

- Limited
- Good
- Extensive

Please initial the two boxes below after carefully reading the text

A minimum of 20% of my total investable/liquid assets will remain liquid following the transaction(s) envisaged herein this account and no recommendations or representations by investment advisors of Global Financial Private Capital have been made which would result in less than 20% of my investable/liquid assets becoming illiquid in a financial instrument or product subject to surrender charges or which lacks an active secondary market.

A maximum of 10% of my total investable/liquid assets will be allocated to Alternative Investments including, but not limited to: non-publicly traded REITs, Direct Participation Programs (DPPs), Limited Partnerships, Private Placements and Options- or Leveraged-based investment strategies following the transaction(s) envisaged herein and no recommendations or representations by investment advisors of Global Financial Private Capital have been made which would result in more than 10% of my investable/liquid assets being invested in Alternative Investments.

I, the undersigned account owner understand the questions contained herein and attest that the answers I have provided are accurate.

(Account Owner Signature) Date

(Joint Owner or Spouse Signature) Date

(Investment Advisor Signature) Date